

# PATENT PROSECUTION RECEIPT OF FILING

135159

Venable Filing Number

Atty. Docket No: 36488-174713

Title of Application: "METHOD AND SYSTEM FOR  
STORING DATA FILES"

Application No: 09/929,277

Patent No. :

Attorney/LAA:

JAK:AR/elw

PTO Due Date:

November 24, 2006

Current Date:

September 26, 2006

Filing Date:

August 15, 2001

Issue Date:

The following items were received from Venable LLP, Washington, D.C., by the U.S. Patent & Trademark Office on the date stamped hereon:

## U.S. PTO FEES ENCLOSED

- ☐ Transmittal Letter
- ☒ **Fee Transmittal**
- ☐ New U.S. Provisional Application (          pages of specification/claims)
- ☐ Rule 53(d) Continued Prosecution Application
- ☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings and declaration*)
- ☐ U.S. National Stage Application of PCT Application
- ☐ Request for Continued Examination (RCE) under 37 CFR 1.114
- ☐ Response
- ☐ Substitute Specification
- ☐ Priority Document-Cert. Copy of Appln.#:          ; Country
- ☐ Date Filed:
- ☐ Formal Drawings (          sheets,          Figs.)
- ☐ Inventor Declaration
- ☐ Assignment w/Cover Sheet
- ☐ Response to Notice to File Missing Parts
- ☐ Response to Notice to File Missing Requirements
- ☐ Response to Restriction Requirement
- ☒ **Information Disclosure Statement with PTO Form SB08 a/b**
- ☐ Response
- ☐ ☐ Amendment/☐ Preliminary Amendment
- ☐ Petition/Request for Extension of Time (          mo. ext.)
- ☐ Power of Attorney
- ☐ Petition to Revive
- ☐ Sequence Listing – CDR Enclosed? ☐ Yes ☐ No
- ☐ Request for Non-Publication
- ☐ Request to Rescind Non-Publication Request
- ☐ Terminal Disclaimer
- ☐ Notice of Appeal
- ☐ Appeal Brief (*in triplicate*)
- ☐ ☐ Reply Brief (*in triplicate*)/ ☐ Request for Oral Hearing
- ☐ Confirmation of Hearing Petition
- ☐ Issue Fee Transmittal
- ☐ Certificate of Correction
- ☐ Maintenance Fee Transmittal
- ☐ Status Inquiry
- ☐ Other: (*Please describe below*)

Filing Fee

Surcharge Fee

Additional Claim Fee

Recordation/Indexing Fee

**\$180** IDS Fee

Extension Fee

Notice of Appeal Fee

Brief on Appeal

Oral Hearing Request Fee

Petition Fee

Issue Fee

Publication Fee

Maintenance Fee

Other Fees (Describe)

**\$180** Total Fees Paid

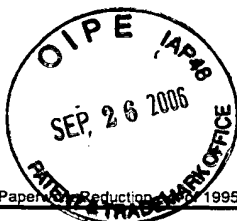
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/929,277
<b>TOTAL AMOUNT OF PAYMENT (\$)</b> 180		Filing Date	August 15, 2001
		First Named Inventor	Keith Bentley
		Examiner Name	Linh Black
		Art Unit	2163
		Attorney Docket No.	36488-174713

<b>METHOD OF PAYMENT (check all that apply)</b>	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify):	
<input checked="" type="checkbox"/> Deposit Account	Deposit Account Number: 22-0261
Deposit Account Name:	Venable LLP
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

<b>FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<b>Fee Description</b>							<b>Small Entity Fee (\$)</b>
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
<b>Total Claims</b> - 20 or HP x <b>Extra Claims</b> = <b>Fee Paid (\$)</b>							<b>Multiple Dependent Claims</b>
							<b>Fee (\$)</b> <b>Fee Paid (\$)</b>
HP = highest number of total claims paid for, if greater than 20.							
<b>Indep. Claims</b> - 3 or HP x <b>Extra Claims</b> = <b>Fee Paid (\$)</b>							
HP = highest number of total claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
- 100 =	/50	(round up to a whole number) x					
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							<b>Fees Paid (\$)</b>
Other (e.g., late filing surcharge):							
IDS Fee							\$180

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	42,709
Name (Print/Type)	Jeffri A. Kaminski	Telephone	(202) 344-4000
		Date	September 26, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Keith BENTLEY

Art Unit: 2163

Appl. No: 09/929,277

Examiner: Linh Black

Confirmation No: 8201

Filed: August 15, 2001

Atty. Docket No: 36488-174713

For: METHOD AND SYSTEM FOR  
STORING LARGE DATA FILES

Customer No:

**26694**

PATENT TRADEMARK OFFICE

Allowed: August 24, 2006

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F. R. § 1.97(D) AND § 1.97(E)**

***MS Issue Fee***

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(d).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form SB08 a/b and which the Examiner may deem relevant to patentability of the claims of the above-identified application. Since all of the documents are U.S. patents, no copies of the documents are provided.

The instant Information Disclosure Statement is being filed after the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311. Accordingly, pursuant to 37 C.F.R. § 1.97(d), a statement and fee in the amount of \$180.00 are required.

**Statement**

Pursuant to 37 C.F.R § 1.97(e), on information and belief each item of information contained in this Information Disclosure Statement was first cited in an Office Action for Application No. 09/929,278 not more than three months prior to the filing of this Statement.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

The Commissioner is authorized to charge the requisite fee of \$180 to deposit account no. 22-0261. The Commissioner is authorized to charge any additional fees or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Date: September 26, 2006

Respectfully submitted,

  
\_\_\_\_\_  
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PTO/SB/08a/b (07-06)  
Approved for use through 09/30/2006. OMB 0651-0031  
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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/929,277		
		Filing Date	August 15, 2001		
		First Named Inventor	Keith BENTLEY		
		Art Unit	2163		
		Examiner Name	Linh Black		
Sheet	1	of	1	Attorney Docket Number	36488-174713

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	US-2001/0004245 A1	06/2001	Shiba, Hisashi	
	B	US-2001/0047251 A1	11/2001	Kemp, William H.	
	C	US-2002/0161608	10/2002	Loveland, James B.	
	D	US-6,480,124 B2	11/2002	Shiba, Hisashi	
	E	US-6,571,203 B2	05/2003	Fujieda, Makoto	
	F	US-6,604,068 B1	08/2003	Bukowski et al.	
	G	US-6,636,774 B2	10/2003	Tenma, et al.	
	H	US-6,671,701 B1	12/2003	Chouinard, Phil	
	I	US-6,701,319 B1	03/2004	Yamane, Yasuo	
	J	US-6,718,329 B1	04/2004	Selvin et al	
	K	US-6,760,735 B1	07/2004	Rusche, Christian Andreas	
	L	US-7,072,061 B2	07/2006	Blair et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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